**FORM PTO-1449** 

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

JAN 1 2 2001

NO. ATTY. DOC 244/299

**APPLICANT:** Heller et al.

**FILING DATE:** July 22, 1999

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBC LASS	FILINGDATE
AM	AA	3,950,738	4/76	Hayashi et al.	365	185	7/74
1	AB	3,995,190	11/76	Salgo	313	391	12/75
	AC	4,283,773	8/81	Daughton et al.	364	132	4/79
	AD	4,537,681	8/85	Elings et al.	436	518	2/83
	AE	4,563,419	1/86	Ranki et al.	435	6	12/83
	AF	4,580,895	4/86	Patel	356	39	10/83
1	AG	4,584,075	4/86	Goldstein	204	522	11/84
	AH	4,594,135	6/86	Goldstein	204	551	2/85
	AI	4,751,177	6/88	Stabinsky	435	6	6/85
	AJ	4,787,963	11/88	MacConnell	204	450	5/87
	AK	4,807,161	2/89	Comfort et al.	364	550	12/87
	AL	4,816,418	3/89	Mack et al.	436	518	7/85
<u> </u>	AM	4,822,566	4/89	Newman	422	82	5/87
·	AN	4,828,979	5/89	Klevan et al.	435	6	11/84
	AO	4,908,112	3/90	Pace	210	198	6/88
	AP	5,063,081	11/91	Cozzette et al.	435	4	8/90
	AQ	5,074,977	12/91	Cheung et al.	205 -	775	10/90
	AR	5,075,077	12/91	Durley, III et al.	422	56	8/88
	AS	5,096,669	3/92	Lauks et al.	422	61 .	9/88
	AT	5,096,807	3/92	Leaback	435	6	12/89
	AU	5,125,748	6/92	Bjornson et al.	356	414	5/91
	AV	5,126,022	6/92	Soane et al.	204	458	2/90
	AW	5,143,854	9/92	Pirrung et al.	436	518	3/90
	AX	5,164,319	11/92	Hafeman et al.	435	287	11/89
	AY	5,166,063	11/92	Johnson	435	173	6/90
1774	AZ	5,200,051	4/93	Cozzette et al.	204	403	11/89
	BA	5,202,231	4/93	Drmanac et al.	435	6	6/91
	BB	5,219,726	6/93	Evans	435	6	6/89
	BC	5,227,265	7/93	DeBoer et al.	430	41	11/90
V.	BD.	5,234,566	8/93	Osman et al.	204	403	4/91

OC-71565.1

**EXAMINER:** 

Ast Masler

DATE CONSIDERED: 5/8/0/

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

		09/490965	
FORM PTO-1449	ATTY. DOC NO. 244/299	SERIAL NO.	
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT: Heller et al.	ECET 1	•
(Use several sheets if necessary)	FILING DATE: July 22, 1999	GROUP: 111 1634 163 /	

_	_		790	0 , , , , , , , -	15		
			THAN SALES	7	8		
am	BE	5,242,797	TRADE	Hirshfeld	435	6	1/92
	BF	5,304,487	4/94	Wilding et al.	435	29	5/92
	BG	5,312,527	5/94	Mikkelsen et al.	205	777	10/92
	BH	5,433,819	7/95	McMeen	216	20	5/93
	BI	5,434,049	7/95	Okano et al.	435	6	2/93
	BJ	5,436,129	7/95	Stapleton	435	6	10/93
	BK	5,445,525	8/95	Broadbent et al.	439	64	5/94
	BL	5,464,517	11/95	Hjerten et al	204	183	1/95
	BM	5,468,646	11/95	Mattingly	436	501	1/95
	BN	5,516,698	5/96	Begg et al.	436	89	4/92
	ВО	5,527,670	6/96	Stanley	435	6	8/94
	BP	5,593,838	1/97	Zanzucchi et al	435	6	5/95
	BQ	5,605,662	2/97	Heller et al.	422	68	11/93
	BR	5,632,957	5/97	Heller et al.	422	68	9/94
	BS	5,653,939	8/97	Hollis et al.	422	50	8/95
	BT	5,660,701	8/97	Grushka et al.	204	451	2/96
	BU	5,681,751	10/97	Begg et al.	436	89	5/95
	BV	5,750,015	5/98	Soane et al	204	454	3/96
	BW	5,789,167	8/98	Konrad	435	6	2/96
<b>V</b>	BX	5,849,486	12/98	Heller et al.	435	6	8/96

		FOR	EIGN PATEN	T DOCUMENTS			•	
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBC LASS	TRANSI YES	ATION NO
DM.	BY	0228075	7/87	EP (Dattagupta et al.)				
	BZ	2247889	3/92	GB (Stanley)				
	CA	WO95/07363	3/95	PCT (Konrad)				
	CB	WO90/01564	2/90	PCT (Adams et al.)				
	CC	WO89/01159	2/89	PCT (Cornell et al.)				
	CD	WO93/22678	11/93	PCT (Hollis)				
<b>V</b>	CE	WO86/03782	7/86	PCT (Malcolm et al.)				

OC-71565.1

**EXAMINER:** 

Andi Manshol

DATE CONSIDERED: 5/8/6/

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

## **FORM PTO-1449**

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOC NO. 244/299

APPLICANT: Heller et al.

FILING DATE: July 22, 1999

SERIAL NO. 399538,788

1631

FORESTENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBC LASS	TRANSI YES	LATION NO
AM	CF	WO89/10977	11/89	PCT (Southern)				
1	CG	WO88/08528	11/88	PCT (Stanbro et al.)				
	CH	WO92/04470	3/92	PCT (Stanley)				
	CI	WO98/51819	11/98	PCT (Heller et al.)	,			
1	CJ	WO96/01836	1/96	PCT (Heller et al.)				
	CK	WO98/01758	1/98	PCT (Kovacs)				
1/4	CL	2156074	10/85	UK (Palva et al.)				
7	CM	57087	87	Yugoslavia (Drmanac)		<del> </del>		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Abrams et al. "Comprehensive Detection of Single Base Changes In Human Genomic DNA CN Using Denaturing Gradient Gel Electrophoresis & a GC Clamp". Genomics, 7, 1990, 463-475 Anand and Southern "Pulsed Field Gel Electrophoresis," Gel Electrophoresis of Nucleic CO Acids - A Practical Approach, 2d. Ed., D. Rickwood and B.D. Hames (New York:IRL Press 1990), pp 101-123 Anderson and Young, "Quantitative Filter Hybridization," Nucleic Acid Hybridization - A CP Practical Approach, Eds. B.D. Hames and S.J. Higgins (Washington, D.C.: IRL Press 1985) pp 73-111 Bains, "Setting a Sequence to Sequence a Sequence," Bio/Technology, 10:757-758 (1992) CQ Barinaga, "Will 'DNA Chip' Speed Genome Initiative?", Science, 253:1489 (1991) CR Beattie et al., "Genosensor Technology," The 1992 San Diego Conference: Genetic CS Recognition, pp 1-5 (Nov, 1992) CTBeltz et al., "Isolation of Multigene Families and Determination of Homologies by Filter Hybridization Methods," Methods in Enzymology, 100:266-285 (1983) Brown et al. "Electrochemically Induced Adsorption of Radio-Labelled DNA on Gold and CU

HOPG Substrates for STM Investigations". Ultramicroscopy, 38, 1991, 253-264

Conner et al., "Detection of Sickle Cell \( \beta^3\)-Globin Allele by Hybridization With Synthetic

Drmanac et al., "Sequencing of Megabase Plus DNA by Hybridization: Theory of the

**EXAMINER:** 

CV

CW

R: Asli Masslot

DATE CONSIDERED: 5/8/01

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Oligonucleotides," Proc. Natl. Acad. Sci. USA, 80:278-282 (1983)

Method," Genomics, 4:114-128 (1989)

## **FORM PTO-1449**

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOC NO. 244/299

APPLICANT: Heller et al.

FILING DATE: July 22, 1999

GOUP: 1631

$\Lambda_{\Lambda}$	CX	Drmanac et al., "DNA Sequence Determination by Hybridixation: A Strategy for Efficeint
DM		Large-Scale Sequencing," Science, 260: 1649-1652 (1993)
1	CY	Eggers et al. "Biochip Technology Development", BioChip Technology Development,
		Lincoln Laboratory Technical Report 901, Nov. 9, 1990
	CZ	Fiaccabrino et al., "Array of Individually Addressable Microelectrodes", Sensors and
		Actuators B, 18-19 (1994) 675-677
	DA	Fodor et al., "Multiplexed Biochemical Assays With Biological Chips," Nature, 364:555-55
		(1993)
1	DB	Fodor et al., "Light-Directed, Spatially Addressable Parallel Chemical Synthesis," Science,
		251:767-773 (1992)
-1	DC	Horejsi, "Some Theoretical Aspects of Affinity Electrophoresis," Journal of
		<u>Chromatography</u> , 178:1-13 (1979)
	DD	Horejsi et al., "Determination of Dissociation Constants of Lectin Sugar Complexes by
		Means of Affinity Electrophoresis, Biochimica at Biophysica Acta, 499:200-300 (1977)
1	DE	Kakerow et al., "A Monolithic Sensor Array of Individually Addressable Microelectrodes",
		Sensors and Actuators A, 43 (1994) 296-301
	DF	Mathews, Kricka. "Analytical Strategies For The Use Of DNA Probes". Analytical
		Biochemistry, 169, 1988, 1-25
	DG	Palecek. "New Trends in Electrochemical Analysis of Nucleic Acids". Bioelectrochemistry
1		and Bioenergetics, 20, 1988, 179-194
	DH	Ranki et al., "Sandwich Hybridization as a Convenient Method for the Detection of Nucleic
		Acids in Crude Samples," Gene, 21:77-85 (1983)
	DI	Saiki, "Amplification of Genomic DNA," PCR Protocols: A Guide to Methods and
	1	Applications, (Academic Press, Inc. 1990), pp 13-20
	DJ	Southern et al., "Analyzing and Comparing Nucleic Acid Sequences by Hybridization to
		Arrays of Oligonucleotides Evaluation Using Experimental Models," Genomics, 13:1008-
		1017 (1992)
\	DK	Strezoska et al., "DNA Sequencing by Hybridization: 100 Bases Read by a Non-Gel-Based
V		Method", Proc. Natl. Acad. Sci. USA, 88:10089-93 (1991)

OC-71565.1

**EXAMINER:** 

Ash Marshot

DATE CONSIDERED: 5/18/0/

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

## FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT O APPLICANT: Under st. of the content of the

(Use several sheets if necessar

ATTY. DOC NO. 244/299 SERIAL NO. 09/358,78

APPLICANT: Heller et al. FILING DATE: July 22, 1999

OTHER D	OCUME	NTS (Including Author, Title, Date, Pertinent Pages, Etc.)
MA	DL	Wallace et al., "Hybridization of Synthetic Oligodexribonucleotides to φ x 174 DNA: The Effect of Single Base Pair Mismatch," <u>Nucleic Acid Res.</u> , 6:3543-3557 (1979)
	DM	Washizu, "Electrostatic Manipulatiaon of Biological Objects," <u>Journal of Electrostatics</u> , 25:109-123 (1990)
	DN	Washizu and Kurosawa, "Electrostatic Manipulation of DNA in Microfabricated Structures," IEEE Transactions on Industry Applications, 26:1165-1172 (1990)

OC-71565.1

**EXAMINER:** 

R: Ash Marasha

DATE CONSIDERED: 5/18/01

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.